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Attorneys for Defendants UBS Securities LLC and UBS Financial Services, Inc.

UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

RANDOLPH BONNIST, Individually and On Behalf of All Others Similarly Sitiuated,

Plaintiff,

- against -

UBS AG, UBS SECURITIES LLC and UBS FINANCIAL SERVICES, INC.,

Defendants.

CASE NO: 08-CV-04352 (unassigned)

DEFENDANTS' DISCLOSURE STATEMENT (Fed. R. Civ. P. 7.1)

PLEASE TAKE NOTICE that pursuant to Federal Rule of Civil Procedure 7.1, the undersigned counsel for Defendants UBS Securities LLC and UBS Financial Services, Inc. in the above-captioned action hereby identifies the following parent corporations and/or publicly-held corporations that own 10% or more of its stock:

- 1. <u>UBS Securities LLC</u>. UBS Securities LLC is a corporation formed under the laws of Delaware. It is a 100% owned subsidiary of UBS AG, which is a publicly-traded limited company formed under the laws of Switzerland.
- 2. **UBS Financial Services, Inc.** UBS Financial Services Inc. is a corporation formed under the laws of Delaware. It is a 100% owned subsidiary of UBS AG, which is a publicly-traded limited company formed under the laws of Switzerland.

Dated: New York, New York PAUL, HASTINGS, JANOFSKY & WALKER LLP May 15, 2008

> /s/ Jonathan A. Choa Jonathan A. Choa

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> Attorneys for Defendants UBS Securities LLC and UBS Financial Services Inc.

CERTIFICATE OF SERVICE

I, Jonathan A. Choa, a member of the bar of this Court and associated with the firm of Paul, Hastings, Janofsky & Walker LLP do hereby certify that on May 15, 2008, a true and correct copy of the foregoing Defendants' Disclosure Statement was filed electronically and served by mail on anyone unable to accept electronic filing. Notice of this filing will be sent by e-mail to all parties by operation of the Court's electronic filing system or by mail to anyone unable to accept electronic filing as indicated on the notice of electronic filing. Parties may access this filing through the Court's CM/ECF System.

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